PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION CONCERNING
TRANSMITTAL OF COPY OF INTERNATIONAL
PRELIMINARY REPORT ON PATENTABILITY
(CHAPTER I OF THE PATENT COOPERATION
TREATY)

(PCT Rule 44bis.1(c))

То:

BLANCHARD, Jonathan, M. Evans Law Group LLC 566 West Adams, Suite 350 Chicago, IL 60661 ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year)
21 September 2006 (21.09.2006)

Applicant's or agent's file reference ILL04-029-WO

IMPORTANT NOTICE

International application No. PCT/US2005/008008

International filing date (day/month/year) 10 March 2005 (10.03.2005)

Priority date (day/month/year)
10 March 2004 (10.03.2004)

Applicant

THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS et al

The International Bureau transmits herewith a copy of the international preliminary report on patentability (Chapter 1 of the Patent Cooperation Treaty)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

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PATENT COOPERATION TREATY

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INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

| Applicant's or agent's file reference ILL04-029-WO | FOR FURTHER ACTION | See item 4 below | |
|---|---|---|---|
| International application No. PCT/US2005/008008 | International filing date (day/month/year) 10 March 2005 (10.03.2005) | Priority date (day/month/year) 10 March 2004 (10.03.2004) | |
| International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237 | | | |
| Applicant THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS | | | ٦ |

| 1. | This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a). | | | |
|---|---|---|--|--|
| 2. | This REPORT consists of a total of 6 sheets, including this cover sheet. | | | |
| | In the attached sheets, any refere to the international preliminary re | nce to the written opinion of eport on patentability (Chapt | the International Searching Authority should be read as a reference er I) instead. | |
| 3. | . This report contains indications relating to the following items: | | | |
| | Box No. I | Basis of the report | | |
| | Box No. II | Priority | | |
| | Box No. III | Non-establishment of opin applicability | nion with regard to novelty, inventive step and industrial | |
| | Box No. IV | Lack of unity of invention | ı | |
| | Box No. V | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement | | |
| | Box No. VI | Certain documents cited | | |
| | Box No. VII | Certain defects in the inter | rnational application | |
| | Box No. VIII | Certain observations on the | e international application | |
| 4. | The International Bureau will connot, except where the applicant mate (Rule 44bis .2). | mmunicate this report to desi nakes an express request undo | ignated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but er Article 23(2), before the expiration of 30 months from the priority | |
| | | | | |
| | | | Date of issuance of this report 13 September 2006 (13.09.2006) | |
| The International Bureau of WIPO | | | Authorized officer | |
| 34, chemin des Colombettes 1211 Geneva 20, Switzerland | | | Beate Giffo-Schmitt | |

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PATENT COOPERATION TREATY

| From the INTERNATIONAL SEARCHING AUTHORITY | | | | | WIPO POT |
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| To: | | | | | PCT |
| | see form F | PCT/ISA/220 | | INTERNATION | TEN OPINION OF THE IAL SEARCHING AUTHORITY PCT Rule 43 <i>bis.</i> 1) |
| | | | | Date of mailing (day/month/year) see | form PCT/ISA/210 (second sheet) |
| | cant's or agent's file form PCT/ISA/22 | | | FOR FURTHER A See paragraph 2 below | |
| PCT | national application N NS2005/008008 | 3 | International filing date (c 10.03.2005 | | Priority date (day/month/year) 10.03.2004 |
| | national Patent Class C25/10, C03C25 | | both national classification | and IPC | |
| Appli THE | | USTEES OF | THE UNIVERSITY OF | · | |
| 1. | _ | | ons relating to the follo | owing items: | |
| | Box No. I Box No. II | Basis of the op Priority | inion | | |
| | Box No. III | • | nent of opinion with rega | ard to novelty, inventive | e step and industrial applicability |
| | ☐ Box No. IV | Lack of unity of | | , | a see and made applications |
| | ☑ Box No. V | Reasoned state applicability; cit | ement under Rule 43 <i>bis</i> tations and explanations | .1(a)(i) with regard to a supporting such state | novelty, inventive step or industrial ement |
| | ☐ Box No. VI | Certain docum | ents cited | | |
| | ☐ Box No. VII | | in the international app | | • |
| | ☐ Box No. VIII | Certain observ | ations on the internation | al application | |
| 2. | FURTHER ACTIO | ON | | | |
| | written opinion of the applicant cho | the Internationa oses an Authori sau under Rule | al Preliminary Examining ity other than this one to | Authority ("IPEA"). He be the IPEA and the c | usually be considered to be a owever, this does not apply where chosen IPEA has notifed the ional Searching Authority |
| | submit to the IPE. | A a written reply date of mailing o | √together, where approx | oriate, with amendmer | PEA, the applicant is invited to ats, before the expiration of three of 22 months from the priority date, |
| | For further option | s, see Form PC | T/ISA/220. | | |
| 3. | For further details | s, see notes to F | Form PCT/ISA/220. | | |
| | | | | | |
| Name and mailing address of the ISA: Authorized Officer | | | | | |

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WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2005/008008

| | Box N | 0.1 | Basis of the opinion |
|----|----------|----------------|---|
| 1. | With re | egard | I to the language , this opinion has been established on the basis of the international application in ge in which it was filed, unless otherwise indicated under this item. |
| | la (u | ngua inder | Rules 12.3 and 23.1(b)). |
| 2. | With r | egaro sary | I to any nucleotide and/or amino acid sequence disclosed in the international application and to the claimed invention, this opinion has been established on the basis of: |
| | a. type | e of n | naterial: |
| | | a s | equence listing |
| | | tab | le(s) related to the sequence listing |
| | b. for | mat o | of material: |
| | | in v | written format |
| | | in | computer readable form |
| | c. tim | e of t | filing/furnishing: |
| | | co | ntained in the international application as filed. |
| | . 🗆 | file | ed together with the international application in computer readable form. |
| | |] fu | rnished subsequently to this Authority for the purposes of search. |
| 3 | | has b copie | dition, in the case that more than one version or copy of a sequence listing and/or table relating thereto been filed or furnished, the required statements that the information in the subsequent or additional is is identical to that in the application as filed or does not go beyond the application as filed, as opriate, were furnished. |
| 4 | 4. Addi | tiona | I comments: |

Box No. V Reasoned statement under Rule 43*bis*.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

1-10, 24, 25

No: Claims

11-23, 26-30

Inventive step (IS)

Yes: Claims

1-10, 24, 25

No:

Claims

11-23, 26-30

Industrial applicability (IA)

Yes: Claims

1-30

No: Claims

2. Citations and explanations

see separate sheet

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING **AUTHORITY (SEPARATE SHEET)**

PCT/US2005/008008

Re Item V.

Reference is made to the following documents:

D1: FR-A1-2 827 856

2 Support

Independent claim 1 is not supported over the whole scope of the claim and represents an unreasonable generalisation of what has been achieved (Art. 6 PCT).

Support is to be found for the following:

- the method should include the step of wet impregnation of the fiber with the ceramic precursor
- -the ceramic precursor is one of the list of page 7, lines 22-24 of the description.
- -the heat treatment may comprise one or two steps, the first step being a heating at 250-600℃.

Conciseness 3

The application comprises 6 independent method claims, 4 independent product claims and 2 independent apparatus claims. It is considered that this is not in relation with the nature of the invention and therefore that the application lacks conciseness (see PCT Guidelines 5.42(2)). As a consequence, the scope of protection is not clearly defined (Art. 6 PCT).

Claim 1

D1 describes a ceramic coated fiber. It is obtained by coating the fiber with a mixture of a ceramic precursor (in particular SiO2 preferably doped with e.g. Ti) and a polymer by wet impregnation. After several heating steps, including one at 400 ℃, the polymer is burnt and the ceramic coated fiber achieved (see page 3, lines 17-20 and example 1).

- As a consequence, claim 1, restricted to what is supported, is considered to be novel 4.1 over the prior art. (Art. 33(2) PCT).
- 4.2 Claim 1 differs from D1, considered as the closest prior art, in that the fiber already has a coating of activated carbon before applying the ceramic precursor solution. No technical effect seems to be achieved by this difference (in particular, the BET obtained

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (SEPARATE SHEET)

International application No.

PCT/US2005/008008

are similar).

The problem may therefore only be how to provide further methods of making ceramic coated fibers.

It is considered that it is not obvious for the skilled person to arrive at the solution of claim 1 using D1 and that claim 1 involves an inventive step (Art. 33(3) PCT).

- 4.3 The same reasoning applies mutatis mutandi to claim 24.
- 4.4 As claims 2-10 are dependent from claim 1, it is considered that these claims meet the requirements of the PCT concerning novelty and/or inventive step (Art. 33(2) or (3) PCT).
- The technical features of the fibers obtained by the method of claim 1 (see objection under point 2) seem to be the same as those of the fibers obtained in D1, namely a carbon coated fiber with a ceramic mesoporous layer and a certain BET.

 As a consequence, claim 11 is not novel (Art 33(2) PCT).
- 6 It is considered that claims 12-23, 26-30 do not meet the requirements of the PCT concerning novelty and/or inventive step (Art. 33(2) or (3) PCT).